

MAR 13 2000

Atty Docket # AMAMOTO=16A

Sector \$/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Takuo YAMAMOTO et al.

Serial No.: 09/435,770  
Filed: November 8, 1999  
For: NON-REDUCING SACCHARIDE-FORMING ENZYME...

) Application Division  
) ATTN: Box Missing Parts  
) Washington, D.C.  
) March 13, 2000

LATE SUBMISSION OF FILING FEE AND/OR DECLARATION

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir: The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION..." dated December 13, 1999.

- Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, serial number, filing date and priority information.
- Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, serial number, filing date and priority information, and to which is attached a duplicate copy of the application as filed.
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 was submitted on \_\_\_\_\_
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- A Communication.
- Preliminary Amendment.
- Preliminary Amendment and Statements in Support of Filing and Submissions in Accordance with 37 C.F.R. §1.821-1.825.

Sequence Listing (hardcopy), and computer-readable form of Sequence Listing.  
 A certified copy of priority document no. \_\_\_\_\_, filed \_\_\_\_\_, is also attached.

An Information Disclosure Statement with 1449 and \_\_\_ references is also attached.

Change of Address

The following fee is calculated below:

Surcharge for late filing of English translation \$ 130.00

Late filing of Declaration surcharge in the amount of: Small Entity Other than Small Entity  
[ ] \$65.00  \$130.00

It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a).

The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity	Other Than Small Entity
Response Filed Within	Response Filed Within
[ ] First - \$ 55.00	<input checked="" type="checkbox"/> First - \$ 110.00
[ ] Second - \$ 190.00	[ ] Second - \$ 380.00
[ ] Third - \$ 435.00	[ ] Third - \$ 870.00
[ ] Fourth - \$ 680.00	[ ] Fourth - \$1,360.00
Month After Time Period Set	Month After Time Period Set

Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

	(Col. 1)	(Col. 2)	Small Entity		OTHER THAN A SMALL ENTITY
			RATE	FEES	
FOR:	NO. FILED	NO. EXTRA		\$345.00	OR \$ 690.00
BASIC FEE			X 9 =	\$	OR X 18 = \$ 648.00
TOT. CLAIMS	56 - 20	36	X 39 =	\$	OR X 78 = \$ 78.00
INDEP. CLAIMS	5 - 3	2	+ 130 =	\$	OR + 260 = \$
<input type="checkbox"/> Multiple claims presented			TOTAL		TOTAL \$1416.00
If the difference in Col. 1 is less than 0, enter "0" in Col. 2					

Check No. 25192 in the amount of \$ 1656.00 is enclosed to cover the above fees.

The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

03/15/2000 KZEWIDIE 00000044 09435770

01 FC:115

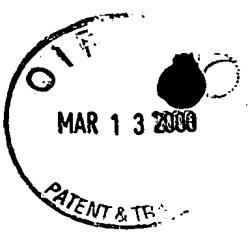
110.00 OP

BROWDY AND NEIMARK, P.L.L.C.  
Attorneys for Applicant(s)

By:

Sheridan Neimark  
Registration No. 20,520

:wrd



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

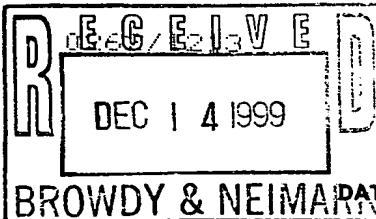
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/435,770 11/08/99 YAMAMOTO

T YAMAMOTO=16A

BROWDY AND NEIMARK PLLC  
419 SEVENTH STREET N W  
WASHINGTON DC 20004



NOT ASSIGNED

1632

12/13/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

**DOCKETED**  
MSF-13 FE 2000

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 1850.00.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 648 for 36 total claims over 20.

\$ 312 for 4 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.  
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

03/15/2000 KZEWIDIE 00000045 024035 09435770

01 FC:102	78.00 OP
02 FC:103	648.00 OP
03 FC:105	130.00 OP
04 FC:104	690.00 OP

*H. Neimark* T-6  
Customer Service Center  
Initial Patent Examination Division, (703) 308-1202